

10-03-01

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002 OMB 0651-0032

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	42390P9334
First Inventor	Robert L. Parker
Title	PRESENTATION OF PERSONALIZED MERCHANDISE IN AN ELECTRONIC COMMERCE TRANSACTION
Express Mail Label No.	EL414998707US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
3. <input checked="" type="checkbox"/> Specification (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 4]	9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
5. Oath or Declaration [Total Pages 6]	10. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney (when there is an assignee)
a. <input type="checkbox"/> Newly executed (original or copy)	11. <input type="checkbox"/> English Translation Document (if applicable)
b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 18 completed)	12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)	13. <input type="checkbox"/> Preliminary Amendment
c. <input checked="" type="checkbox"/> Unsigned	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
8. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP)    of prior application No:	16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
Prior application Information: Examiner _____ Group/Art Unit: _____	

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**

08791

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Name (Print/Type)	Paul A. Mendonsa	Registration No. (Attorney/Agent)	42,879
Signature		Date	10/03/01

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# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

824.00

## Complete if Known

Application Number	October 3, 2001
Filing Date	Robert L. Parker
First Named Inventor	
Examiner Name	
Group/Art Unit	
Attorney Docket No.	42390P9334

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to credit any overpayments to:

Deposit Account Number **02-2666**

Deposit Account Name **Blakely, Sokoloff, Taylor & Zafman LLP**

Charge Any Additional Fee(s) Required  
Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20

Applicant claims small entity status  
See 37 CFR 1.27.

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEE

## Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
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105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	

113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
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115	110	215	55	Extension for response within first month	
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116	400	216	200	Extension for response within second month	
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117	920	217	460	Extension for response within third month	
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118	1,440	218	720	Extension for response within fourth month	
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128	1,960	228	980	Extension for response within fifth month	
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119	310	219	155	Notice of Appeal	
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120	310	220	155	Filing a brief in support of an appeal	
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121	270	221	135	Request for oral hearing	
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138	1,510	138	1,510	Petition to institute a public use proceeding	
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140	110	240	55	Petition to revive - unavoidable	
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141	1,240	241	620	Petition to revive - unintentional	
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142	1,280	242	640	Utility issue fee (or reissue)	
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143	460	243	230	Design issue fee	
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144	620	244	310	Plant issue fee	
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122	130	122	130	Petitions to the Commissioner	
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123	130	123	130	Petitions related to provisional applications	
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126	180	126	180	Submission of Information Disclosure Stmt	
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581	40	581	40	Recording each patent assignment per property (times number of properties)	
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146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
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149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
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179	740	279	370	Request for Continued Examination (RCE)	
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169	900	169	900	Request for expedited examination of a design application	
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Other fee (specify)				
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Other fee (specify)				
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*Reduced by Basic Filing Fee Paid				
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SUBTOTAL (3)	(\$)		
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## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid		
101	740	201	370	Utility filing fee	740.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)	(\$)	740.00
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## 2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Extra Claims	Fee from below	
16	4	20**	0 X 18.00	=
		3**	1 X 84.00	= \$84.00

Multiple Dependent

\*or number previously paid, if greater, For Reissues, see below

## Large Entity

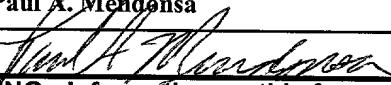
## Small Entity

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description		
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	260	204	140	Multiple Dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)	(\$)	84.00
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## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type)	Paul A. Merdonna	Registration No. (Attorney/Agent)	42,879	Telephone	(503) 684-6200
Signature				Date	10/03/01

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